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PATENTS, TRADEMARKS &amp; COPYRIGHTS

ATTORNEY DOCKET: 13394

ASSISTANT COMMISSIONER OF PATENTS  
WASHINGTON, D.C. 20231

EXPRESS MAIL NO: EL29867362248

Sir:

Transmitted herewith for filing is the Continuation-In-Part patent application of

Inventor/Owner: SCHNEIDER, James R.

For: A PRESERVED IMPLANTABLE VESSEL DERIVED FROM A HUMAN UMBILICAL CORD OR PLACENTA

Enclosed are:

- ☒ 1 sheet(s) of informal drawings.
  - ☐ An assignment of the invention to \_\_\_\_\_.
  - ☐ A certified copy of a \_\_\_\_\_ application.
  - ☐ \_\_\_\_\_ Specimens (2 minimum)
  - ☒ A Verified Statement to establish small entity status under CFR 1.9 and 37 CFR 1.27.
  - ☒ Declaration/Statement and Power of Attorney.
  - ☒ Specification and Claims.
  - ☒ 9 copies of prior art references listed on the PTO 1449 Form.
- The filing fee has been calculated as shown below:

				OTHER THAN A	
				SMALL ENTITY	
FOR:	(Col. 1) No. Filed	(Col. 2) No. Extra	SMALL ENTITY Rate	Fee	Rate
BASIC FEE				\$ 380.00	\$
TOTAL CLAIMS	-20*		x 9=	\$	x18= \$
INDEP CLAIMS	- 3*		x39=	\$	x78= \$
[ ] MULTIPLE DEP. CLAIM PRESENTED			x130=	\$	x260= \$
Assignment				\$	\$

\*If the difference in Col 1.

is less than zero, enter "0"  
in Col 2.

TOTAL \$ 380.00

TOTAL \$

- ☐ Please charge my Deposit Account No. 02-2273 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$380.00 to cover all fees associated with this communication is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional required fees associated with this communication or any fees arising during the pending of this application, or credit any overpayment to Account No. 02-2273. A duplicate copy of this sheet is enclosed.
- ☒ EXCEPT the issue fee set forth in 37 CFR 1.18 only upon the return of the executed Issue Fee Transmittal Form PTOL 85b, under 37 CFR 311(a) to the office.
- ☒ Post Card.

Date: May 10, 1999

THEODORE J. BIELEN, JR.  
Reg. No. 27,420

05/10/99

PTO  
09/30/99  
05/10/99

Applicant or Patentee: SCHEIDER, James R.

Attorney's

Serial or Patent No:

Docket No: 13394

Filed or Issued:

For: A PRESERVED IMPLANTABLE VESSEL DERIVED FROM A HUMAN UMBILICAL CORD OR PLACENTA

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f)-INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled: A PRESERVED IMPLANTABLE VESSEL DERIVED FROM A HUMAN UMBILICAL CORD OR PLACENTA described in

☒ the specification filed herewith  
☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☒ no such person, concern, or organization  
☐ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME: NONE

ADDRESS: NONE

☐ INDIVIDUAL ☐ SMALL BUSINESS ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.  
(37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR: **James R. Schneider**

Signature of Inventor: James R. Schneider

Date: April 30, 1999

600750-5562000

Atty Dkt: 13394



**CERTIFICATE OF MAILING BY  
EXPRESS MAILING**

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I hereby certify that this correspondence is being deposited with the United States Postal Service as **"EXPRESS MAIL"** in an envelope addressed to BOX PATENT APPLICATION, Commissioner of Patents and Trademarks, Washington, D.C. 20231 on May 10, 1999. Express Mail No. EL298673622US.

By:   
JENNIFER LAMPE